

ACTION TAKEN REPORT

TO WHOMSOEVER IT MAY CONCERN

Regulation	Particulars	Compliance Status	Reason for non-compliance/ non-applicability	Action taken on adverse findings (duly approved by the individual IA / management of the non-individual IA)
SEBI Circular Ref. No. SEBI/HO/IMD/DF1/C IR/P/2020/182 (Dated September 23, 2020) Clause 2(ix)	<u>Display of details on website and in other communication channels.</u> IAs shall prominently display the information as prescribed, on its website, mobile app, printed or electronic materials, know your client forms, client agreements and other correspondences with the clients.	Complied	We have already started complying with the required disclosures.	Management of the non-individual IA

Thanks and Regards,

Name: William O Neil India Private Limited

Registered office address: Akshay Tech Park, Unit No 302, 3rd Floor, Plot 72 & 73, EPIP Area, Hoodi Village, Hobli, Whitefield,

Krishnarajapura, EPIP, Bangalore, Karnataka, India, 560066

Contact No: 080-67453800

SEBI Registration No. INA200005125

Trade name: William O Neil India Private Limited

BASL membership No. BASL1365

Name: S R Varun Sai Ram

Designation: Compliance Officer

Email: varun.sr@williamoneilindia.com

Contact Number: +91 9886376648

"Investment in securities market are subject to market risks. Read all the related documents carefully before investing. Registration granted by SEBI, membership of BASL (in case of IAs) and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors"